6-12-7

PTO/SB/21 (04-07)

Approved for use through 09/30/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ler the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/723,365 Conf. No. 4199 Filing Date TRANSMITTAL November 26, 2003 First Named Inventor FORM Dirk van den Boom Art Unit **Examiner Name** Woolwine, Samuel C. (to be used for all correspondence after initial filing) Attorney Docket Number SEQ-2073-UT Total Number of Pages in This Submission

|                                                                                                                                                                                                                                                                                       | ENCLOSURES (Check all that apply)   |                                                                                                                                                                                                                                                                                                                                                                                  |      |                                     |                                           |      |                                                                                                 |  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|-------------------------------------|-------------------------------------------|------|-------------------------------------------------------------------------------------------------|--|
|                                                                                                                                                                                                                                                                                       |                                     | smittal Form<br>ee Attached                                                                                                                                                                                                                                                                                                                                                      |      | Drawing(s) Licensing-related Papers |                                           |      | After Allowance Communication to TC  Appeal Communication to Board of Appeals and Interferences |  |
| Amendment/Reply After Final Affidavits/declaration(s)  Extension of Time Request Express Abandonment Request Information Disclosure Statement  Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 |                                     | Petition Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD  Remarks  Statement Under 37 C.F.R. 1.8(b)(3); Submission Postcard with Postage, Copies of submission frod amendment after final; extension of time request; |      |                                     | m 7 May 2007(22 pages) (transmittal form; |      |                                                                                                 |  |
|                                                                                                                                                                                                                                                                                       |                                     | SIGNA                                                                                                                                                                                                                                                                                                                                                                            | TURE | OF APPLICANT, ATT                   | ORNEY. C                                  | R AG | ENT                                                                                             |  |
| Firm Name Grant Anderson LLP                                                                                                                                                                                                                                                          |                                     |                                                                                                                                                                                                                                                                                                                                                                                  |      |                                     |                                           |      |                                                                                                 |  |
| Signature Shull.                                                                                                                                                                                                                                                                      |                                     | <u> </u>                                                                                                                                                                                                                                                                                                                                                                         |      |                                     |                                           |      |                                                                                                 |  |
| Printed name Sheryl R. Silverstein                                                                                                                                                                                                                                                    |                                     |                                                                                                                                                                                                                                                                                                                                                                                  |      |                                     |                                           |      |                                                                                                 |  |
| Date June 11, 2007                                                                                                                                                                                                                                                                    |                                     | •                                                                                                                                                                                                                                                                                                                                                                                |      | Reg. No.                            | 40,812                                    | 2    |                                                                                                 |  |
|                                                                                                                                                                                                                                                                                       | CERTIFICATE OF TRANSMISSION/MAILING |                                                                                                                                                                                                                                                                                                                                                                                  |      |                                     |                                           |      |                                                                                                 |  |

#### I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first slass mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on Express Mail No. EQ 645833765 US Mail Sty After Fina the date shown below: Signature Date June 11, 2007 Sheryl R. Silverstein Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re the Application of:                                                                   | )           | Examiner: Samuel Woolwine |
|---------------------------------------------------------------------------------------------|-------------|---------------------------|
| Dirk van den Boom et al.                                                                    | )           | Art Unit: 1637            |
|                                                                                             | )           | Conf. No. 4199            |
| <b>Appln. No.:</b> 10/723,365                                                               | )           | Cont. No. 4199            |
| Filed: November 26, 2003                                                                    | )<br>) /    |                           |
| For: FRAGMENTATION-BASED METHODS AND SYSTEMS FOR SEQUENCE VARIATION DETECTION AND DISCOVERY | )<br>)<br>) |                           |

# STATEMENT UNDER 37 C.F.R. 1.8(b)(3)

Mail Stop After Final Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

I attest that the documents listed below were filed with the United States Patent Office by deposit with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop After Final, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 7<sup>th</sup>, 2007. I personally deposited the envelope containing the documents with the United States Postal Service.

1. Transmittal Form (1 page)

## CERTIFICATE OF TRANSMISSION/MAILING UNDER 37 CFR 1.8

The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail, Express Mail No. EQ645833765US, in an envelope addressed to: Mail Stop After Final, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 11th day of June, 2007.

Shory R. Silverstein Date

Application no.: 10/723,365 Docket no.: SEQ-2073-UT

2. Amendment (filed under 37 CFR 1.114) (15 Pages);

- 3. Petition for 2 Month Extension of Time (1 page);
- 4. Fee Transmittal (1 page);
- 5. Notice of Appeal (1 page);
- 6. Form PTO/2038 (1 page); and
- 7. Return Postcard (1 page).

Respectfully submitted,

Dated: 11 June 2007

By: \_\_\_\_S\_\_

Sheryl R. Silverstein Registration No. 40,812 Grant Anderson, LLP 6640 Lusk Blvd., Suite C210 San Diego, California 92121

Direct: (760) 473-9472 Facsimile: (858) 623-3224



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re the Application of:               | ) Examiner: Samuel Woolwine        |
|-----------------------------------------|------------------------------------|
| Dirk van den Boom et al.                | ) <b>Examiner:</b> Samuel woolwine |
|                                         | ) Art Unit: 1637                   |
|                                         | ) Conf. No. 4199                   |
| <b>Appln. No.:</b> 10/723,365           | )                                  |
| Filed: November 26, 2003                | )<br>)<br>)                        |
| <b>For:</b> FRAGMENTATION-BASED METHODS | )                                  |
| AND SYSTEMS FOR SEQUENCE VARIATION      | )                                  |
| DETECTION AND DISCOVERY                 | )                                  |

# SUBMISSION UNDER 37 C.F.R. 1.8(b)(3)

Mail Stop After Final Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

On May 7, 2007, the following documents were filed with the United States Patent Office by deposit with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop After Final, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 7<sup>th</sup>, 2007.

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- 7. Return Postcard (1 page).

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Sheryl R. Silverstein

Dure 11, 2007

Application no.: 10/723,365 Docket no.: SEQ-2073-UT

These documents were filed in response to a Final Office Action mailed December 5, 2006. May 5, 2007 was a Saturday, therefore these documents were timely filed with a Petition for Extension of Time and a two month extension fee.

On June 8, 2007, the attorney listed below, Sheryl Silverstein, received a call from Examiner Samuel Woolwine inquiring whether a response had been filed. The attorney checked Private PAIR and found no listing for the response, also, the return postcard has not yet been received by the attorney's firm, Grant Anderson LLP. To expedite prosecution of this application, the Applicant hereby submits a Statement Under 37 C.F.R. 1.8(b)(3), providing the Certificate of Mailing and attesting that the documents were submitted on the date listed. Copies of the submission are also hereby submitted. Because the credit card number has been blocked out from the copy of the submission, Applicant hereby submits a new form 2038.

Respectfully submitted,

Dated: 11 June 2007

SMMS

Sheryl R. Silverstein Registration No. 40,812 Grant Anderson, LLP 6640 Lusk Blvd., Suite C210 San Diego, California 92121

Direct:

(760) 473-9472

Facsimile: (858) 623-3224

Pet. Ext. time; form 2038, return postcard

| Under the Paperwork Reduction Act of 1995        | no nerson    |                                                             | Patent and Ti | rademarl          | d for use through 09/30/2007. OMB 0651-0031<br>t Office; U.S. DEPARTMENT OF COMMERCE<br>unless it displays a valid OMB control number. |  |
|--------------------------------------------------|--------------|-------------------------------------------------------------|---------------|-------------------|----------------------------------------------------------------------------------------------------------------------------------------|--|
| AREZ ONGE HE PAUGHON TOWN THE OF 1885            | . Ho belavii | Application Number                                          | 10/723,365    |                   | carf. No. 4197                                                                                                                         |  |
| TRANSMITTAL                                      |              | Filing Date                                                 | November      | 26, 2003          | }                                                                                                                                      |  |
| FORM                                             |              | First Named Inventor                                        | Dirk van de   | Dirk van den Boom |                                                                                                                                        |  |
|                                                  |              | Art Unit                                                    | 1637          |                   |                                                                                                                                        |  |
| (to be used for all correspondence after initial | filing)      | Examiner Name                                               | Woolwine,     | Samuei            | C.                                                                                                                                     |  |
| Total Number of Pages in This Submission         | 21           | Attorney Docket Number                                      | SEQ-2073      | -UT               |                                                                                                                                        |  |
|                                                  | ENC          | LOSURES (Check all                                          | that apply    | <del>)</del>      |                                                                                                                                        |  |
| Fee Transmittal Form                             |              | Drawing(s)                                                  |               |                   | After Allowance Communication to TC                                                                                                    |  |
| Fee Attached                                     |              | Licensing-related Papers                                    |               |                   | Appeal Communication to Board of Appeals and Interferences                                                                             |  |
| Amendment/Reply                                  |              | Petition                                                    |               | $\mathbf{V}$      | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)                                                                         |  |
| After Final                                      |              | Petition to Convert to a<br>Provisional Application         |               |                   | Proprietary Information                                                                                                                |  |
| Affidavits/declaration(s)                        |              | Power of Attorney, Revocation<br>Change of Correspondence A |               |                   | Status Letter                                                                                                                          |  |
| Extension of Time Request                        |              | Terminal Disclaimer                                         |               | <b>v</b>          | Other Enclosure(s) (please Identify below):                                                                                            |  |

Request for Refund

Remarks

CD, Number of CD(s)

**Express Abandonment Request** 

Information Disclosure Statement

Reply to Missing Parts under 37 CFR 1.52 or 1.53

Certified Copy of Priority

Reply to Missing Parts/ Incomplete Application

Document(s)

· . . . . .

|              | ·                     | •                  |          |   |  |
|--------------|-----------------------|--------------------|----------|---|--|
|              | SIGNATURE OF AP       | PLICANT, ATTORNEY, | OR AGENT |   |  |
| Firm Name    | Grant Anderson LEP    | *<br>:             |          |   |  |
| Signature    | 8-11.5                |                    |          | , |  |
| Printed name | Sheryl R. Silverstein |                    |          |   |  |
| Date         | May 7, 2007           | Reg. No.           | 40,812   |   |  |

Landscape Table on CD

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (05-07)
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A PRADEN

| Effective on 12                       |                       | Complete if Known    |                    |  |  |  |
|---------------------------------------|-----------------------|----------------------|--------------------|--|--|--|
| Fees pursuant to the Consolidated App | •                     | Application Number   | 10/723,365         |  |  |  |
| FEE TRAN                              | ISMITTAL              | Filing Date          | NOV. 26,2003       |  |  |  |
| For FY                                | 2007                  | First Named Inventor | Dirk vanden barn   |  |  |  |
| Applicant claims small entity s       | table See 37 CEP 1 27 | Examiner Name        | westerne Samuel C. |  |  |  |
| <del>7</del>                          | 1                     | Art Unit             | 1637               |  |  |  |
| TOTAL AMOUNT OF PAYMENT               | (\$) 475,0C           | Attomey Docket No.   | SEG -2073-UT       |  |  |  |
| METHOD OF PAYMENT (ched               | ck all that apply)    |                      |                    |  |  |  |
|                                       |                       |                      |                    |  |  |  |

|                                                                                                                   |                          | 117100                                |                       | Money Docke           | ( NO.            | CC AU           | 13-01              |  |
|-------------------------------------------------------------------------------------------------------------------|--------------------------|---------------------------------------|-----------------------|-----------------------|------------------|-----------------|--------------------|--|
| METHOD OF PAYMEN                                                                                                  | IT (check all t          | hat apply)                            |                       |                       |                  |                 |                    |  |
| Check Credit                                                                                                      | Card M                   | oney Order                            | None                  | Other (               | please identify) | :               |                    |  |
| Deposit Account                                                                                                   | Deposit Account I        | Number:                               |                       | Deposit A             | ccount Name:     |                 |                    |  |
| For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)            |                          |                                       |                       |                       |                  |                 |                    |  |
| Change (tags) indicated have                                                                                      |                          |                                       |                       |                       |                  |                 |                    |  |
| Charge fee(s) indicated below, except for the filing fee  Charge any additional fee(s) or underpayments of fee(s) |                          |                                       |                       |                       |                  |                 |                    |  |
| under 37 CF                                                                                                       | R 1.16 and 1.1           | 7                                     |                       |                       | it any overpay   |                 |                    |  |
| WARNING: Information on the information and authorization                                                         | is form may bec          | ome public. Credi                     | t card infor          | nation should r       | ot be included   | on this form. P | rovide credit card |  |
| FEE CALCULATION                                                                                                   | 1011 P 10-2038.          | · · · · · · · · · · · · · · · · · · · |                       |                       |                  |                 |                    |  |
|                                                                                                                   |                          |                                       |                       |                       |                  |                 |                    |  |
| 1. BASIC FILING, SEA                                                                                              | RCH, AND EX<br>FILING FI |                                       | <b>FEES</b><br>SEARCI | LEEE                  | EV448814         | TION 5550       |                    |  |
|                                                                                                                   | - : -                    | all Entity                            |                       | Small Entity          |                  | TION FEES       |                    |  |
| Application Type                                                                                                  | Fee (\$)                 | Fee (\$)                              | Fee (\$)              | Fee (\$)              | Fee (\$)         | Fee (\$)        | Fees Paid (\$)     |  |
| Utility                                                                                                           | 300                      | 150                                   | 500                   | 250                   | 200              | 100             |                    |  |
| Design                                                                                                            | 200                      | 100                                   | 100                   | 50                    | 130              | 65              |                    |  |
| Plant                                                                                                             | 200                      | 100                                   | 300                   | 150                   | 160              | 80              |                    |  |
| Reissue                                                                                                           | 300                      | 150                                   | 500                   | 250                   | 600              | 300             |                    |  |
| Provisional                                                                                                       | 200                      | 100                                   | 0 -                   | 0                     | 0                | 0               |                    |  |
| 2. EXCESS CLAIM FEI                                                                                               | ES                       |                                       |                       |                       |                  |                 | Small Entity       |  |
| Fee Description Lach claim over 20 (                                                                              | inahadina Dai            |                                       |                       |                       |                  | Fee (\$)        | Fee (\$)           |  |
| Each independent ela                                                                                              | including Kel            | ssucs)                                |                       |                       |                  | 50              | 25                 |  |
| Multiple dependent of                                                                                             |                          | iciuaing Keissu                       | ies)                  |                       |                  | 200             | 100                |  |
| Total Claims                                                                                                      |                          |                                       |                       |                       |                  | 360             | 180                |  |
| - 20 or HP =                                                                                                      | Extra Claims             |                                       | Fee Pa                | aid (\$)              |                  | Multiple De     | pendent Claims     |  |
| HP = highest number of tota                                                                                       | deime poid for           | X                                     | . =                   | <del></del>           |                  | <u>Fee (\$)</u> | Fee Paid (\$)      |  |
| Indep. Claims                                                                                                     | Extra Claims             |                                       | Fee Pa                |                       |                  |                 |                    |  |
| - 3 or HP =                                                                                                       | Exua Ciainis             | Lee (a)                               | - ree ra              | <u>iiu (\$)</u>       |                  |                 | <del></del>        |  |
| HP = highest number of inde                                                                                       | pendent claims p         | aid for, if greater th                | an 3.                 |                       |                  |                 |                    |  |
| 3. APPLICATION SIZE                                                                                               | FEE                      |                                       |                       |                       |                  |                 |                    |  |
| If the specification and                                                                                          | Grawings ext             | ceed 100 sneets                       | s of paper            | (excluding c          | electronically   | / filed sequer  | ice or computer    |  |
| listings under 37 Cl                                                                                              | ·K 1.52(e)), t           | ne application                        | size fee d            | ue is \$250 (\$       | 125 for sma      | H entity) for   | each additional 50 |  |
| sheets or fraction the Total Sheets - 100 =                                                                       | Extra Sheets             | Number                                | <u>r of each a</u>    | <u>dditional 50 o</u> | r fraction the   | reof Fee        | (\$) Fee Paid (\$) |  |
|                                                                                                                   |                          | /50 =                                 | (r                    | ound up to a v        | vhole number)    | х               | = =                |  |
| <ol> <li>OTHER FEE(S)         Non-English Specific     </li> </ol>                                                | eation, \$130            | ) fee (no small                       | entity disa           | count)                |                  |                 | Fees Paid (\$)     |  |
| Other (e.g., late filing                                                                                          |                          |                                       | -                     |                       | A.               | 10001 (at       | " \ WUZE ~         |  |

| SUBMITTED BY      |                      |                                     |        |                       |
|-------------------|----------------------|-------------------------------------|--------|-----------------------|
| Signature         | Shepp. Sai           | Registration No.<br>(Attomey/Agent) | 40,812 | Telephone 760-473 447 |
| Name (Print/Type) | SHERYIK. SILVERSTEIN |                                     |        | Date May 7,2002       |
| <b>T.</b>         | //                   |                                     |        | 1440-7                |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commence, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Docket No. SEQ-2073-UT Appln. No. 10/723,365

Conf. No. 4199

Filing date: November 26, 2003



JUN 1 1 2007

Date of Mailing: May 7, 2007

### Papers enclosed:

- (1) Transmittal Form (1 page)
- (2) Amendment (filed under 37 CFR 1.114) (15 Pages);
- (3) Petition for 2 Month Extension of Time (1 page):
- (4) Fee Transmittal (1 page):
- (5) Notice of Appeal (1 page):
- (6) Form PTO/2038 (1 page): and
- (7) Return Postcard (1 page).

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C/O PORTFOLIOIP
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MINNEAPOLIS, MN 55402
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